

Genetic signals of historic and recent migration between sub-populations of Atlantic walrus (*Odobenus rosmarus rosmarus*) west and east of Greenland

L.W. Andersen¹, E.W. Born², D.W. Doidge³, I. Gjertz⁴, Ø. Wiig⁵, R. S. Waples⁶

1: National Environmental Research Institute and University of Aarhus, Department of Wildlife Ecology and Biodiversity, Grenåvej 12, DK-8410 Rønne, Denmark

2: Greenland Institute of Natural Resources, P.O. Box 570, DK-3900 Nuuk, Greenland

3: Nunavik Research Centre, Makivik Corporation P.O. Box 179, Kuujuaq, Québec JOM 1C0, Canada

4: The Research Council of Norway, P.O. Box 2700 St. Hanshaugen, N-0131 Oslo, Norway

5: Natural History Museum, University of Oslo, P.O. Box 1172 Blindern, N-0318 Oslo, Norway

6: Northwest Fisheries Science Center, National Marine Fisheries Service, Seattle, WA 98112, USA

1 **Abstract**

2 Defining sub-populations and determining migration rates between them is crucial for sound
3 management and conservation. Defining sub-populations and determining migration rates
4 also applies to Atlantic walruses (*Odobenus rosmarus rosmarus*), which are still exploited
5 despite low population levels in some cases and lack of information on abundance in others.
6 Analysis of muscle and skin tissues using 11 nuclear microsatellite markers from a total of 297
7 Atlantic walruses from Hudson Strait (Hudson Strait) across West, Northwest and East
8 Greenland (W Greenland, NW Greenland, E Greenland) to Svalbard and Franz Josef Land
9 was undertaken to determine the number of sub-populations, ancestral origin and the
10 contemporary rates and directions of migration (gene flow) between the various areas. The
11 study indicated the existence of five sub-populations in Hudson Strait, W Greenland, NW
12 Greenland, E Greenland and Franz Josef Land-Svalbard. Identification of the Hudson Strait
13 sub-population was novel; although differences between animals here and in W Greenland
14 were small, the differences were statistically significant and indicated that walruses in Hudson
15 Strait could be a source of walruses for the W Greenland sub-population. The direction of
16 migration (Hudson Strait to W Greenland) suggested a genetic signal from a historical large-
17 scale counter-clockwise perennial migration pattern in the Baffin Bay region. The study
18 provides essential information on population sub-structuring that is a prerequisite for
19 management of Atlantic walruses at sustainable levels.

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51 **Introduction**

52 *Conservation concerns*

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55 Throughout their range Atlantic walrus (*Odobenus rosmarus rosmarus*) have been heavily
56 exploited causing reductions in range and number compared to recent historical times (Born et
57 al. 1995). In many parts of Canada and Greenland estimates of abundance do not exist or are
58 merely educated guesswork. Several populations are thought to number less than a few
59 thousand individuals (NAMMCO 2006, COSEWIC 2006).

60 Currently, Atlantic walrus are hunted by Inuit in Canada throughout South and East
61 Hudson Bay-Hudson Strait and in West, North West and East Greenland (W Greenland, NW
62 Greenland, E Greenland). Exploitation rates in W Greenland and NW Greenland are thought
63 to be unsustainable (Born et al. 1994, 1995, Anon. 1995, Witting & Born 2005, NAMMCO
64 2006, COSEWIC 2006), emphasising the importance of sub-population recognition and
65 identification of migration rates (gene flow) and their directions.

66 In 2006, Atlantic walrus in Canada, including two shared sub-populations in
67 W Greenland and NW Greenland, were designated “Species of special concern” by
68 COSEWIC (Committee on the Status of Endangered Wildlife in Canada). The
69 assessment concluded that the species is near to qualifying for threatened status and
70 requires an effective plan for management of the exploitation (COSEWIC 2006).
71 COSEWIC defines a “Species of special concern” as a “species that might become
72 threatened or endangered” based on a combination of biological and other identified
73 threats; “Threatened” implies that a species is likely to become “Endangered” facing
74 imminent extirpation or extinction if limiting factors are not moderated or removed
75 (Ibid.).

76 Historically, walrus were heavily exploited in the Svalbard and Franz Josef
77 Land areas, causing a serious decline in numbers. As a result, walrus were completely
78 protected in these areas in 1952 and 1956, respectively (cf. Gjertz & Wiig 1994, Born et
79 al. 1995). However, in recent years there have been signs of recovery in this population,
80 and a survey in 2006 suggested ca. 2600 walrus in the Svalbard area alone
81 (NAMMCO 2006, Lydersen et al. 2008).

82 To ensure sustainability, it is common practice to manage large Arctic marine
83 mammals at the sub-population level (e.g. polar bears, *Ursus maritimus*, Aars et al. 2006;
84 beluga, *Delphinapterus leucas*, Alvares-Flores & Heide-Jørgensen 2004; narwhal, *Monodon*
85 *monoceros*, Anon. 2005). Information on the geographical distribution, genetic identity and
86 number of sub-populations and rates of exchange of individuals between them is therefore
87 crucial.

88 Recent and historical migration patterns between populations are important factors in
89 shaping the contemporary genetic population structure (Ramstad et al. 2004). The existence of
90 several sub-populations or stocks of Atlantic walrus have been suggested (Born et al. 1995,
91 NAMMCO 1995, 2006). However, in several cases knowledge about population sub-structure
92 is insufficient to assess them separately (COSEWIC 2006). This study analyses the population
93 structure and migration between groups of walrus in the Hudson Strait area, W Greenland,
94 NW Greenland, E Greenland, Svalbard and Franz Josef Land (Table 1, Fig. 1).

95 *Background*

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98 To understand the background for the study, it is important to describe some life history
99 characteristics of walrus and the ecological situation in the Baffin Bay region where
100 walrus are exploited by Canadian and Greenlandic Inuit.

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102 *Life-history characteristics*

103 Walrus have life history traits typical of large mammals. They are relatively long
104 lived, grow slowly and show delayed onset of sexual maturity. They produce few offspring per
105 cycle but invest heavily in each (Fay 1982). Average age at attainment of sexual maturity (first
106 ovulation) in female Atlantic walrus in Greenland is around 6 years, so first births occur at
107 ca. 7 years of age (Born 2001). The generation time (defined as the mean age of mothers
108 producing offspring in a given year) in walrus is close to 15 years (i.e. between sexual
109 maturity and age at reproductive senescence 25-30 years, Fay 1982). The reproductive cycle
110 is basically triennial; adult females give birth every third year, usually to a single calf (Born
111 2001, Fay 1982). Atlantic male walrus become sexually mature between 7 and 17 years of
112 age (average: 11 years) (Born 2003). However, Pacific walrus (*O. r. divergens*) usually do
113 not participate in mating until physically mature at 13-16 years (Fay 1982), and the same is
114 probably the case in Atlantic walrus.

115 Atlantic walrus occupy a large geographical range but a relatively narrow ecological
116 niche. They require shallow waters with bottom substrates that support a productive bivalve
117 community, which retain open water above feeding areas in winter, and suitable ice or land
118 nearby upon which to haul out (COSEWIC 2006). Walrus show a high degree of fidelity to
119 such foraging sites (e.g. Born et al. 1997). Female walrus are found in polynyas or similar
120 geographically restricted open water areas surrounded by ice during the mating season (e.g.
121 Sjare & Stirling 1996) that peaks in January-April (Fay 1982, Fay et al. 1984, Born 2001). The
122 generally sedentary nature of walrus during winter and the inherent gregariousness of
123 females appear to have been important factors influencing the evolution of the species' social
124 behavior and mating system (Sjare & Stirling 1996). These factors also are likely driving
125 forces behind the establishment of genetically different sub-populations of Atlantic walrus.

126

127 *Possible migration patterns between areas*

128 Historically, walrus in the Baffin Bay region apparently were more numerous and
129 were more widely distributed than at present (Freuchen 1921, Vibe 1950). It was suggested
130 that walrus made large-scale, counter-clockwise perennial migrations in the Baffin Bay
131 region between Canada (i.e. East Ellesmere Island and East Baffin Island and the Hudson
132 Strait areas) and W and NW Greenland (Freuchen 1921, Vibe 1950). This suggestion was
133 based on timing of the presence in near-shore areas as well in relation to timing of ice breakup
134 in different areas. The timing of catches indicated that when walrus left their wintering
135 grounds in Central W Greenland in spring, they migrated north along the western coast of
136 Greenland to the North Water area (i.e. northern Baffin Bay-Smith Sound), where a portion of
137 the population had overwintered. This northward migration in spring was possible because ice
138 break-up occurs relatively early along the western coast of Greenland due to the influx of
139 relatively warm water from the Irminger Current in the south (see for example Born & Böcher
140 2001). During fall the formation of sea ice develops from west towards the east (i.e. from
141 Baffin Island towards western Greenland). Hence, the appearance of walrus along eastern
142 Baffin Island in late summer and their reappearance in fall in Central West Greenland
143 supported 1) the theory of a counter-clock overall migration pattern in the Baffin Bay area,
144 and 2) that this migration was determined by the timing of ice break-up and ice formation in
145 the region (Freuchen 1921, Vibe 1950). However, over-exploitation seemed to cause walrus
146 numbers to decline throughout their range (Born et al. 1995 and references therein). Mansfield
147 (1973) noted the absence of reports of any large scale migrations in the Baffin Bay region
148 during the second half of the 20th Century. He hypothesised that the decline in numbers, and
149 the innate dependence of walrus on sessile food resources in localised shallow coastal

150 waters, had made walruses more sedentary in the Baffin Bay-Davis Strait region (Vibe 1950,
151 Fay 1982).

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153 *Objectives*

154 The present study aimed to provide information on population sub-structure necessary for
155 management of Atlantic walruses at sustainable levels. The objectives were: (1) To estimate
156 the number of sub-populations that are represented in a combined sample from parts of the
157 range of Atlantic walrus, (2) to analyse the historical and contemporary migration patterns
158 within and between sub-populations, and 3) to determine the historical and contemporary
159 effective population size. Objective 2 evaluates the hypotheses of enhanced sedentary
160 contemporary behaviour, and the presumed historical counter-clock-wise migration, in the
161 Baffin Bay region between eastern Canada and western Greenland, and Objective 3 could
162 indicate whether the different sub-populations had experienced a population bottleneck.

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165 **Material and methods**

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167 *Samples*

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169 Samples of tongue tissue from 58 Atlantic walruses from Hudson Strait (Fig. 1) (12
170 from eastern Hudson Strait and 54 from western Hudson Strait) were collected by Canadian
171 Inuit from their subsistence catch during 1998-2000. The samples were frozen at -20°C until
172 analysed in the laboratory of National Environmental Research Institute (Silkeborg,
173 Denmark). The samples had information on site of the catch and/or name of the community to
174 which the sample was delivered (for regions, stocks, sample size and abbreviations see Table
175 1). The samples from Canada are referred to as Hudson Strait in the following as there was no
176 significant genetic difference between the two samples (data not shown).

177 Walruses from central W Greenland, NW Greenland, E Greenland, Svalbard and Franz
178 Josef Land have been previously analysed genetically (Andersen et al. 1998, Andersen & Born
179 2000, Born et al. 2001). The previous E Greenland sample of 28 individuals was
180 supplemented with a further 48 individuals. These specimens were not genetically different
181 from the previously analysed E Greenland samples ($F_{ST}= 0.003$, 95%CI: -0.001-0.006;
182 F_{STAT} , Goudet 1995) based on the eleven microsatellite markers used in the present study
183 (*Hg 6.1*, *ORR7*, *ORR3*, *SGPV9*, *HGDii*, *ORR24*, *ORR23*, *Igf-1*, *ORR11*, *ORR9*, *ORR16*
184 (Andersen et al. 1998)) and hence all E Greenland data were pooled (Table 1).

185 All analyses were conducted using N , the number of individuals actually sampled on
186 the different sampling locations, except for the estimation of N_e where N^{**} was used (see
187 later) (Table 1).

188 DNA was extracted from the Hudson Strait and the recent E Greenland samples using
189 a modified CTAB-buffer method (Milligan 1992) including proteinase K. The 11
190 microsatellite primers used and their PCR conditions are given in Andersen et al. (1998) and
191 Andersen & Born (2000). PCR products were electrophoresed using an ABI PRISM 377
192 DNA sequencer and subsequently genotyped.

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194 *Sex determination*

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196 The gender of the samples from Hudson Strait, E Greenland and Franz Josef Land lacking
197 phenotypic information on sex was determined using a sex-specific primer set for walrus
198 (Fischbach et al. 2008) (for numbers of males, M , and females, F , see Table 1).

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200 *Data analyses*

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202 Genetic variation was estimated as observed and expected heterozygosity and allele richness
203 in FSTAT (Goudet 1995). Tests for goodness of fit to Hardy-Weinberg expectations (HWE)
204 were performed in GENEPOP (Raymond & Rousset 1995). Significance levels were
205 computed using Fisher's exact test (Guo & Thompson 1992). Tests for linkage disequilibrium
206 between all pairs of loci were conducted for separate samples and analysed in FSTAT (Goudet
207 1995).

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209 *Objective 1-Estimation of the number of sub-populations*

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211 The present study re-analyzes the walrus data in Andersen et al. (1998), Andersen &
212 Born (2000) and Born et al. (2001) (i.e. NW Greenland, W Greenland, E Greenland plus new
213 data, Svalbard and Franz Josef Land), supplemented with the Hudson Strait sample. The
214 degree of population differentiation was analysed using unbiased F_{ST} statistics (Weir &
215 Cockerham 1984) performed in FSTAT (Goudet 1995) and based on sampling locations.
216 Furthermore, we pooled samples from all areas and evaluated assumptions that they originated
217 from two to eight sub-populations without using prior information of sample origin. The most
218 likely number of sub-populations was estimated using a Bayesian clustering method that
219 groups individuals so as to minimise Hardy-Weinberg disequilibrium and gametic phase
220 disequilibrium within groups, as implemented in STRUCTURE (Pritchard et al. 2000). We
221 used 1,000,000 iterations of a Markov Chain Monte Carlo (MCMC) search algorithm with
222 burn-in of 100,000 and two runs. For each number of postulated populations (k),
223 STRUCTURE finds the optimal partitioning of individuals into k groups and, for each
224 individual, estimates the proportion of its genes (q_k) that are derived from each of the k
225 sources.

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227 *Objective 2- Estimation of historical and contemporary migration patterns*

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229 The historical migration pattern and long-term effective population size was estimated in
230 MIGRATE (MIGRATE 2.1.0 <http://popgen.csit.fsu.edu/migrate/download.html>) (Beerli 2006,
231 Beerli & Felsenstein 2001) using the likelihood approach. The method assumes that the
232 population sizes and migrations rate between pairs of populations were constant over the
233 coalescence period (about $4N_e$ generations) (Austin et al. 2004). MIGRATE uses estimates of
234 gene genealogies to sample areas of the coalescence space with highest likelihoods.
235 MIGRATE was run according to the author's recommendations. The random number of seed
236 and starting values of θ and $4N_e m$ were based on F_{ST} , and the subsequent run used the θ and
237 $4N_e m$ from previous runs as starting parameters (Beerli 2006). A Brownian mutation model
238 was assumed and the data were analysed using 10 short (10^4 MCMC steps) and five long
239 chains (10^5 MCMC steps), together with "adaptive heating" based on four temperatures, one
240 "cold" and three "hot" chains to assure convergence.

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242 To estimate the direction and rate of contemporary migration over the most recent
243 generations and migrant ancestries, a Bayesian method based on multilocus genotypes
244 implemented in BAYESASS was used (BAYESASS, Wilson & Rannala 2003). The method
245 does not assume populations are at genetic equilibrium or that genotypes are in accord with
246 Hardy-Weinberg equilibrium, but the loci in the parent populations are assumed to be in
247 linkage equilibrium. The method is based on MCMC methods to estimate the posterior
248 probabilities of the migration matrix among sub-populations (Wilson & Rannala 2003).
Convergence was achieved after 6×10^6 MCMC iterations and a burn-in of 2×10^6 steps. The

249 data were run three times, and the one where the log-likelihood had peaked and with the
250 highest log-likelihood, was chosen.

251 Isolation by distance was estimated using geographical distances measured between
252 the cores of the distribution areas of the populations in question using the shortest straight-line
253 distance. The relationships between the genetic and geographical distances amongst the
254 populations were estimated using a Mantel test implemented in ARLEQUIN v. 2.0 (Schneider
255 et al. 2000).

256

257 *Objective 3 - Historical and contemporary effective population size*

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259 The heavy exploitation by sealers during the 18th, 19th and early 20th centuries in some areas
260 (Born et al. 1995) could have caused a significant reduction in genetic variation of walrus.
261 Therefore, we tested for bottleneck effect in all sampling areas using the M-ratio (Garza &
262 Williamson 2001) and BOTTLENECK 1.2 (Piry et al. 1999). The M-ratio contrasts the
263 number of alleles, k , and the overall range in allele size, r ; when population size declines, the
264 number of alleles drops faster than the range, leading to smaller M values. The parameters used
265 in the present study were p_s (percent one-step mutations) = 80% (90%), Δ_g (mean size of non
266 one-step mutations) = 3.5 and $\mu = 5 \times 10^{-4}$ as recommended by Garza & Williamson (2001).
267 Historical effective population size (θ) was estimated from MIGRATE (Beerli 2006, Beerli &
268 Felsenstein 2001) (see above), while contemporary N_e was obtained from a linkage
269 disequilibrium method (Waples 2006, Hill 1981, see below). In contrast to the M-ratio,
270 BOTTLENECK 1.2 (Piry et al. 1999) is designed to detect an incompatibility between
271 heterozygosity and the number of alleles per locus; following a decline in population size, the
272 reduction in allele numbers occurs faster than the loss of heterozygosity, resulting in an
273 apparent heterozygosity excess (Nei et al. 1975, Cornuet & Luikart 1996).

274 To evaluate the performance of BOTTLENECK we used EASYPOP v.1.7 (Balloux
275 2001) to simulate isolated populations segregating for 11 variable loci (as in our data)
276 following a k -allele mutation model (each mutation equally likely to lead to any of k allelic
277 states) and mutation rate $\mu = 5 \times 10^{-4}$. Each replicate population ran for 5,000-10,000
278 generations to reach mutation-drift equilibrium before sampling. First, a single panmictic
279 population with $N_e = 10,000$ was simulated. After reaching mutation-drift equilibrium, the
280 population was split into 50 sub-populations each having $N_e = 200$ and tested in
281 BOTTLENECK. The bottleneck size ($N_e = 200$) was maintained for 50 generations, at which
282 time 50 individuals were sampled from each population and tested in BOTTLENECK. This
283 procedure was repeated with bottleneck size $N_e = 1,000$ and with a constant $N_e = 10,000$ (as a
284 control; no bottleneck).

285 Under the assumption of the two-phase mutation model (TPM) (Di Rienzo et al. 1994)
286 allowing for 90% single-step mutations and 10% multi-step mutations and a variance of 12%,
287 the test for bottleneck was performed using the Wilcoxon test implemented in the program
288 BOTTLENECK v. 1.2 (Piry et al. 1999). This test evaluates whether the number of loci with
289 heterozygote excess is larger than expected to occur by chance alone; it is non-parametric, so
290 is better suited for our analyses involving 11 loci than the standard deviate test also available
291 under BOTTLENECK, which ideally requires 20 or more loci (G. Luikart, pers. com.
292 September 2007).

293 The historical effective population size was estimated using the coalescence approach
294 in MIGRATE (Beerli 2006) as described under *Objective 2*. Recent effective population size
295 was estimated using the linkage disequilibrium method, which depends on random
296 associations of alleles at different gene loci. The squared correlation of allele frequencies at
297 pairs of loci (\hat{r}^2) was calculated using the composite Burrows method. This method, which is
298 simpler than maximum-likelihood methods and does not require the assumption of random

299 mating, is the preferred method for use with genotypic data such as are available for this study
300 (Weir 1996). To estimate \hat{N}_e from \hat{r}^2 we used the program LDNe (Waples & Do 2008),
301 which implements the bias correction method described by Waples (2006). To minimize
302 possible bias from low frequency alleles, we only used alleles with a frequency ≥ 0.02 ; this
303 criterion appears to provide a good balance between maximizing precision and minimizing
304 bias with highly polymorphic loci like microsatellites (Waples & Do 2008 and unpublished
305 data). Confidence intervals to \hat{N}_e were computed using Equation 12 in Waples (2006).

306 As the LDNe method can be biased by population mixture, we used STRUCTURE,
307 BAYESASS and GENECLASS 2 (Piry et al. 2004) to identify migrants. Individuals identified
308 as migrants by at least two of the assignment methods were moved to the identified area of
309 origin before estimating the effective population size. Hence, the sample sizes in some areas
310 decreased while it was increased in others (Table 1). In GENECLASS v. 2 (Piry et al. 2004)
311 the likelihood of the individuals genotype was estimated using $L = L_{\text{HOME}}/L_{\text{MAX}}$ (Paetkau et al.
312 2004) and the Bayesian computation criteria of Rannala & Mountain (1997). Significance of
313 the assignment of individuals at the 5% level was assessed based on the MCMC resampling
314 option described by Paetkau et al. (2004). GENECLASS was only used to identify migrants
315 for the replacement to other areas.

316 The sequential Bonferroni procedure was applied in table-wide tests of HWE, F_{ST} ,
317 number of loci with significant different allele distribution and bottleneck at the 5%
318 significance level (Rice 1989).

319

320 **Results**

321 After application of the sequential Bonferroni procedure (Rice 1989) no overall deviations
322 from the HWE were observed in the Hudson Strait sample (Table 2). No linkage
323 disequilibrium was observed between all pairs of loci within the different sampling areas (data
324 not shown), hence the prior assumptions regarding linkage equilibrium in the parent
325 populations behind the method implemented in STRUCTURE (Pritchard et al. 2000) are not
326 violated.

327

328 *Objective 1-Estimation of the number of sub-populations*

329 All the F_{ST} estimates from the pair-wise comparisons (except Franz Josef Land -Svalbard)
330 revealed statistically significant differences among the six sampling areas (Table 3). The
331 pairwise F_{ST} -estimates over all loci ranged from 0.021 between the Hudson Strait and W
332 Greenland samples to 0.069 between the Hudson Strait and NW Greenland samples (Table 3).
333 The STRUCTURE analysis found by far the strongest support for the existence of five
334 genetically distinct sub-populations: Hudson Strait, W Greenland, NW Greenland, E
335 Greenland and Franz Josef Land -Svalbard (Ln probability of data Ln P (D): $k=4$, $ln = -$
336 9506.6 ; $k=5$, $ln = -9327.8$; $k=6$, $ln = -9652.23$) (Fig. 2). Under the assumption that $k = 5$, the
337 clusters identified by STRUCTURE largely corresponded to the 5 sampling locations
338 identified as distinct in the F_{ST} analyses: (E Greenland, W Greenland, NW Greenland,
339 Hudson Strait, and Franz Josef Land -Svalbard; Table 4 and Fig. 2). STRUCTURE was not
340 able to identify a separate Franz Josef Land and Svalbard sub-population for higher numbers
341 of clusters (k).

342 The low F_{ST} estimate between Hudson Strait and W Greenland suggests that these two
343 groups of walrus might have diverged recently or are still exchanging migrants. A crude
344 estimate of the time for divergence (t , in generations) between W Greenland and Hudson Strait
345 based on the F_{ST} can be obtained from the expectation that $t \approx 2N_e F_{\text{ST}}$ (Hartl & Clark 1997).

346 Given the harmonic mean of the effective population size from the two populations in
347 terms of θ ($4N_e\mu$, Fig. 3a), and using the 95% confidence intervals of θ and F_{ST} and an average

348 microsatellite mutation rate of 5×10^{-4} (Weber & Wong 1993), the estimated divergence time
349 started 4-18 generations ago. Assuming a generation time of about 15 years this corresponds
350 to some time during the 1700s-1900s.

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352 *Objective 2 - Estimation of historical and contemporary migration patterns*

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354 The analyses of migration patterns west of Greenland indicated that estimate of the historical
355 and recent migration direction was predominantly from Hudson Strait to W Greenland with a
356 moderate average number of historical migrants per generation ($Nm=2.48$) (Fig. 3a). The
357 equilibrium model (MIGRATE) suggested asymmetrical historical migration from NW
358 Greenland to W Greenland, but this pattern was not reflected in the estimate of the recent
359 direction, which indicated very little interchange between these two areas. Both historical and
360 recent migration direction and average exchange of migrants per generation between Hudson
361 Strait and NW Greenland were balanced and small (Fig. 3a,b).

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For the sub-populations east of Greenland (Fig.3c), the historical migration direction went from E Greenland to Franz Josef Land -Svalbard. In contrast, the more recent estimates (Fig. 3d) reflected a symmetrical and low migration between the two areas.

Comparing migration directions between sub-populations west and east of Greenland, the historical migration direction went from NW Greenland to Franz Josef Land -Svalbard (Fig. 4a). Between NW Greenland and E Greenland (Fig. 4a) the historical migration was symmetrical and lower. However, the more recent migration direction and rate was low and symmetrical (Fig. 4b) between NW Greenland and E Greenland and Franz Josef Land -Svalbard. In W Greenland, both the historical and contemporary methods suggested a migration direction going from E Greenland to W Greenland, while the direction was symmetrical between W Greenland and Franz Josef Land -Svalbard (Fig. 4c,d). A moderate historical and symmetrical migration direction was estimated between Hudson Strait and Franz Josef Land -Svalbard (Fig. 4e,f), but this was not reflected in the more recent estimate, where the migration rate was low between these two sub-populations. Between Hudson Strait and E Greenland, both the historic and recent migration direction and rate were estimated to be symmetrical and low.

No significant correlation was observed between genetic and geographical distances among the walrus sub-populations based on a Mantel test implemented in ARLEQUIN (data not shown).

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384 *Objective 3 - Historical and recent estimates of effective population size*

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For the sub-populations west of Greenland, likelihood estimates of the historical effective population size in terms of θ decreased from 0.693 in Hudson Strait to 0.372 in NW Greenland and 0.327 in W Greenland (Fig. 3a). The same pattern of relative effective size was found in the estimates of contemporary N_e based on linkage disequilibrium (Fig. 3b).

The historical population sizes in the sub-populations east of Greenland were at comparable levels (Fig. 3c), while the contemporary N_e estimate was an order of magnitude lower for the E Greenland sample ($N_e = 98$, 95% CI: 63-184) compared to the estimate for Franz Josef Land-Svalbard sample ($N_e = 1264$, 95% CI: 182-infinity) (Fig. 3d).

After application of the sequential Bonferroni correction no indication of a bottleneck was detected in any of the walrus sub-populations (data not shown). The results of the simulations to test the performance of BOTTLENECK 1.2 give some insight to the power to detect bottlenecks. In the control runs, none of the populations showed indications of a bottleneck (data not shown). Testing the 50 individuals from the populations with bottleneck $N_e=200$, 41 of the 100 showed a significant heterozygote excess using the Wilcoxon test.

398 Finally, only eight of the 100 populations with a bottleneck of $N_e=1000$, showed significant
399 heterozygote excess, just slightly more than would be expected by chance.

400

401 **Discussion**

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403 *Objective 1-Estimation of the number of sub-populations*

404 The genetic differences based on pairwise F_{ST} estimates (Table 3) suggested that five sub-
405 populations of Atlantic walruses were present: Hudson Strait, W Greenland, NW Greenland, E
406 Greenland and Franz Josef Land-Svalbard. The significant F_{ST} estimate observed between
407 Hudson Strait and W Greenland sub-populations was small and comparable to the level
408 observed between Franz Josef Land and Svalbard, although the latter failed to reach statistical
409 significance and has previously been shown to constitute one sub-population (Wiig et al. 1996,
410 Andersen et al. 1998, Born et al. 2001). Furthermore, the Bayesian clustering analysis
411 implemented in STRUCTURE confirmed the separation into five different gene pools i.e. the
412 analysis identified Hudson Strait and W Greenland as different gene pools and Franz Josef
413 Land and Svalbard as belonging to the same gene pool also with increasing numbers of
414 clusters (k). The identification of W Greenland, NW Greenland, E Greenland and Franz Josef
415 Land-Svalbard sub-populations confirms previous studies (Andersen et al. 1998, Born et al.
416 2001), whereas the finding that walruses from Hudson Strait differ genetically from walruses
417 in the other areas is novel (Table 4, Fig. 2).

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419 Genetic structure at a comparable geographical scale has been observed in other
420 pinniped species with an affinity to terrestrial haul-out sites (Allen et al. 1995, Stanley et al.
421 1996, Goodman 1998, Slade et al. 1998, Wynen et al. 2000, Trujillo et al. 2004). These studies
422 have been based on more traditional approaches, such as F_{ST} and AMOVA analyses. This
423 finding suggests that at least those pinnipeds that rely on regular access to haul-outs on land,
424 exhibit pronounced genetic population sub-structure. This is probably related to their
425 dependence on such haul-out sites which may influence the social structure of the species.

425

426 The estimate of divergence time between Hudson Strait and W Greenland suggests that
427 they might have diverged some time during the “Little Ice Age” (LIA). The LIA was a long,
428 sustained cold period in the North Atlantic from 1300-1400 to 1900 (Grove 2001, Przybylak
429 2003). This period was characterized by particularly severe winters and an average
430 temperature, slightly cooler than in present-day Greenland (Dahl-Jensen et al. 1998, Przybylak
431 2003). During the LIA, glaciers advanced in Greenland and the pack ice extended further
432 south (Reiter 2000). In the late 1500s, Atlantic walruses lived (and probably reproduced) in
433 areas farther south than at present: Sable Island (44° N) off Nova Scotia (Allen 1880, Allen
434 1930) and possibly the Orkney Islands in Scotland (59° N) (Ray 1960). The extended pack ice
435 and the severe winters may have impacted the distribution of walruses during the mating
436 period by restricting and separating suitable wintering locations. Born et al. (2001) proposed
437 that the walruses in the three areas to the west of Greenland belonged to the same ancestral
438 population. Their suggestion-- that the genetic divergence (based on both mitochondrial and
439 microsatellite markers) between NW Greenland and W Greenland and walruses to the east of
440 Greenland could be attributed to a separation caused by the existence of massive ice masses
441 during the last glaciations period-- is supported by the results of the present study. However,
442 intensive exploitation by commercial sealers and whalers during the 19th century (Born et al.
443 1995 and references therein) might have reduced the range of the species, adding to the
444 geographical separation of the groups of walruses.

444

445 *Objective 2 - Estimation of historical and contemporary migration patterns*

446 *West Greenland*

447 It is not possible to compare the levels of historic and recent (contemporary) gene flow and
448 dispersal rate directly. The gene flow is estimated in terms of effective number of migrants
449 averaged over the coalescence time ($4N_e$ generations) and the dispersal rate is the proportions
450 of migrants between the populations over the past 2-3 generations ago (Austin et al. 2004).
451 However, assuming that the sub-populations were at mutation-drift equilibrium over the
452 geographical range, patterns of migration direction can be evaluated. Given the hypothesised
453 historical counter-clockwise migration movement pattern of walruses in the Baffin Bay region
454 (Freuchen 1921, Vibe 1950), the direction of migration captured by the genetic signal is
455 expected to go from the Hudson Strait to W Greenland and may be to NW Greenland. If this
456 movement has been constant over a long period of time, we would expect the two different
457 methods (i.e. MIGRATE and BAYESASS) to indicate similar patterns. Both historical and
458 contemporary migration pattern implied that Hudson Strait functions as a source for W
459 Greenland, hence supporting the counter-clockwise movement of walruses in the area. The
460 discrepancy observed between the estimates of magnitude of gene flow using the two
461 approaches may have been caused by the fact that samples of walruses from all areas in the
462 Baffin Bay region were not included in this study (e.g. eastern Ellesmere and Baffin Islands;
463 cf. Born et al. 1995). In addition, the sample sizes analysed might not be representative of the
464 entire population (Beerli 2004) and not all assumptions behind the different methods applied
465 (i.e. MIGRATE assumes a constant effective population size over several years) are met.

466 Apparently, walruses previously migrated from NW to W Greenland, but the more
467 recent estimate (Fig. 3) suggests that this migration has ceased. Given the similar level of
468 genetic differences between NW Greenland and W Greenland and between NW Greenland
469 and Hudson Strait, the estimated divergence time for both pairs (1700-1900s) coincides
470 roughly with the “Little Ice Age” as the event influencing genetic drift. We found no evidence
471 that the migration pattern has changed over time between the NW Greenland and Hudson
472 Strait.

473 The recently described performance problems (Faubet et al. 2007) observed in the
474 algorithm used in BAYESASS (Wilson & Rannala 2003) were shown to be related to
475 violation of the underlying demographic model, the level of genetic differentiation and the
476 number of individuals and loci in the analysis. The present study largely met the lower bound
477 of the critical criteria’s observed for the above mentioned suggested requirements i.e. the
478 walrus populations exchange migrants not extremely different from the Wright island model,
479 $F_{ST} \sim 0.05$, close to equality of the number of individuals, and the number of loci used (11) was
480 close to the suggested 15 loci (Faubet et al. 2007). Based on the present study we believe that
481 Hudson Strait, W Greenland and NW Greenland are genetically different sub-populations that
482 are connected through migration and diverging slowly.

483

484 *East Greenland*

485 The change in migration pattern observed in E Greenland may be reflected in the crude
486 estimate of the time for divergence. Given the level of differentiation ($F_{ST} = 0.038$, 95%CI
487 0.02-0.052), the time of divergence started around year 1400-1800s likewise suggesting LIA
488 as the probable event driving the separation of the sub-populations to the east (i.e. Franz Josef
489 Land -Svalbard).

490

491 *Between west and east Greenland*

492 The analysis of migration direction and rate between west and east Greenland during a
493 longer time span supported the earlier hypothesis of a common ancestral walrus population in
494 the North Atlantic about the time of the end of the last Ice Age 12,000 years ago (Born et al.
495 2001). This hypothesis is corroborated by the fossil finds of walruses on the Atlantic coast of
496 North America, that indicate a northern limit of their Last Glacial Maximum (LGM) “refuge”

497 near Long Island (New York, ca. 43° 57' N) that advanced to central Canada Arctic by 9,700
498 BP and to the Bay of Fundy (Nova Scotia, ca. 45° 0' N) by 7,500 BP (Dyke et al. 1999). In the
499 North Sea and Denmark finds of 24,000–31,000-year-old walrus fossils indicate that walruses
500 were a “Danish” faunal element during the last Ice Age (Møhl 1985). At that time a huge ice
501 sheet covered Scandinavia (e.g. Hughes et al. 1981), and the walrus probably lived close to its
502 northernmost border. However, in those areas of the North Atlantic where walruses lived
503 during the LGM there was no vast continental shelf. This reduction in the range of suitable
504 walrus habitat in the western and eastern Atlantic, and likely also in walrus abundance,
505 probably caused a “bottleneck” effect that could explain the genetic differences between
506 walruses to the west and to the east of Greenland (Andersen et al. 1998, Born et al. 2001).
507 Furthermore, the dispersal between the populations would also be restricted as indicated by the
508 recent migration/dispersal estimates in the present study, hence indicating that the more
509 sedentary behaviour observed during the last century is reflected in the genetic make up.

510 In the Atlantic walruses, the patterns of “isolation by distance” depend on the
511 geographical scale of interest. Andersen et al. (1998) did not detect “isolation by distance”
512 among walruses in NW Greenland, E Greenland and Franz Josef Land-Svalbard. Including W
513 Greenland in the “isolation by distance” analysis, Born et al. (2001) detected a significant
514 isolation by distance pattern. In the present study, the Hudson Strait sample was included in
515 the “isolation by distance” analysis together with the other four sub-populations. This
516 inclusion increased the geographical distance between the sub-populations, which, together
517 with the genetic divergence observed between areas, disrupted the significant “isolation by
518 distance” pattern. This suggests that factors other than geographical distance may be just as
519 important (for example the last Ice Age, 18,000 years ago) as described for the southern
520 elephant seal (*Mirounga leonina*) by Slade et al. (1998).

521 *Objective 3 - Historical and recent estimates of effective population size*

522
523
524 The difference between historical and recent effective population size observed in the E
525 Greenland sample could be a result of heavy commercial exploitation in the late 19th and early
526 20th centuries (cf Born et al. 1997). In the 1950s, walruses almost disappeared from eastern
527 Greenland and Svalbard, when regulations to protect them were introduced (Gjertz & Wiig
528 1994, 1995; Born et al. 1997). However, the recent effective population size estimate might be
529 biased downwards due to lack of proper identification of migrants from different sub-
530 populations and low sample sizes, both factors that reduce the power of the LDNe method and
531 generally led to wide confidence intervals for the point estimates.

532 No significant reduction in population size was detected in any of the sub-populations
533 using both tests for bottlenecks. The M ratio test is regarded as a more informative test for the
534 detections of more contemporary bottlenecks (between 125-500 generations or even more
535 recent) (Garza & Williamson 2001) when (i) these have lasted for several generations, (ii) the
536 population has recovered demographically and (iii) the pre-bottleneck population size was
537 high or mutation rates high (Williamson-Natesan 2005). If the bottleneck was more recent and
538 less severe and pre-bottleneck population size was low or mutation rate was low, the test for
539 heterozygosity excess would be more likely to identify a bottleneck (Williamson -Natesan
540 2005).

541 The simulation tests based on the demography parameters for the Atlantic walrus and
542 the observed genetic diversity at the 11 loci indicated that BOTTLENECK 1.2 (Piry et al.
543 1999) could detect a significant reduction in population size after 50 generations when the
544 bottleneck size was $N_e=100$ or $N_e=200$, but not when $N_e=1000$. However, simulation results
545 show that with bottleneck $N_e = 200$, the power of the algorithm used in BOTTLENECK 1.2 is
546 less than 50% for datasets comparable to those for the walrus. The bottleneck in the walrus

547 sub-populations east of Greenland occurred in the 18th and 19th centuries (~28 walrus
548 generations) so one explanation could be that the reduction in size happened too recently to be
549 detected or N_e has not been as low as suggested by the catch statistics (Born et al. 1997, Gjertz
550 et al. 1998). According to this hypothesis, the walruses were not extirpated, but moved
551 somewhere else or survived in more remote and less accessible areas (e.g. the northernmost
552 parts of NE Greenland and Franz Josef Land). Another explanation could be that the
553 underlying assumption of the mutation model does not fit the microsatellite loci used.

554

555 **Management implications for the walruses west of Greenland**

556 This study indicates that the role of Hudson Strait walruses as a source for walruses hunted on
557 the wintering grounds in W Greenland has been constant over several centuries. The more
558 sedentary behaviour suggested by Mansfield (1973) may not yet have resulted in a cease in the
559 movements of some walruses from Hudson Strait to W Greenland. Furthermore, the results
560 indicate that during the LIA the distribution of the sea ice probably restricted the movement of
561 walruses and influenced their access to various foraging grounds so that the populations
562 started to diverge into more isolated sub-populations.

563 This information is important for the management of the walruses. Differences in the
564 genetic make-up of walruses from different geographical areas studied indicate that they
565 represent sub-populations and should be managed separately. However, the study also
566 indicated the existence of some contemporary migration between the putative sub-populations
567 in the Baffin Bay area – a fact that must be considered when setting sustainable catch levels
568 for the various areas. The indication that such connections have existed for several centuries
569 might explain why the genetic variation observed in the W Greenland sample was at the same
570 level as observed in the samples from Hudson Strait and NW Greenland despite estimates of
571 abundance in west Greenland only being in the low thousands (Born & Witting 2005,
572 NAMMCO 2006, Heide-Jørgensen et al. 2006).

573 Walruses are completely protected in Svalbard and Franz Josef Land (cf. Gjertz &
574 Wiig 1994, Born et al. 1995) and are thought to be taken at sustainable level in E Greenland
575 (NAMMCO 2006). However, exploitation is believed to be the main limiting factor and threat
576 to the Atlantic walrus in certain parts of Canada including the stocks shared with NW
577 Greenland and W Greenland (NAMMCO 2006, COSEWIC 2006, Stewart 2008). Walruses are
578 still hunted for subsistence purposes in E Greenland, W Greenland, NW Greenland and the
579 Hudson Strait (NAMMCO 2006, COSEWIC 2006, Stewart 2008). Until 2006 the catch of
580 walruses in Greenland was not regulated by any quota system, whereas the catch of walruses
581 in Canada has been regulated for decades by either individual bag limits or
582 settlement/community quotas (COSEWIC 2006).

583 Although estimates of abundance in several of the areas occupied by sub-populations
584 are inadequate, the estimates and qualified guesstimates indicate that the different sub-
585 populations of Atlantic walruses number only few hundreds to few thousands (Born et al.
586 1995, NAMMCO 2006, COSEWIC 2006). Given the uncertainty connected with the estimates
587 of the effective population size (N_e) in the present study and the lack of population estimates
588 for most of the sub-populations, the N_e/N ratio was not estimated. However, this relationship
589 could be a tool for management in order to follow the importance of the removals on the
590 survival of the sub-populations.

591 Walruses occur along the SE coast of Baffin Island (Born et al. 1995, Stewart 2008)
592 vis-à-vis the W Greenland walrus grounds. Walruses on SE Baffin Island may well be
593 connected to the W Greenland walruses as suggested by Born et al. (1994). The existence of
594 this connection has been supported by recent satellite telemetry where walruses instrumented
595 in W Greenland moved to SE Baffin Island during spring (Greenland Institute of Natural
596 Resources and Danish National Environmental Research Institute unpublished data). Hence,

597 walruses exploited in W Greenland can be winter migrants from a sub-population that also
598 occurs in SE Baffin.

599 Currently, the management of the shared populations of Atlantic walruses in Canada
600 and Greenland is not formally coordinated. However, the fact that the W Greenland and NW
601 Greenland sub-populations are shared calls for a coordinated management. An important part
602 of such management is identification of sub-populations or management units. The present
603 study is a contribution to identification of management units. However, efforts to determine
604 the identity of sub-populations shared by Canada and Greenland continue.

605

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607

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622

623 **References**

624

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Figure 1

Map showing the approximate distribution of Atlantic walrus sub-populations (after Born et al. 2001). Samples included in the present study came from areas inhabited by the putative stocks numbered 2, 3, 4, 5, 6 and 7. Red circles indicate sampling sites and blue arrows show the described counter-clockwise migration movements of walruses west of Greenland.

Figure 2

Graphical output from STRUCTURE (Pritchard et al. 2000) for $k = 5$. Each vertical line represents an individual, and the colour composition displays the probability of belonging (proportion of membership) to each of the five clusters defined by STRUCTURE.

Figure 3

Estimates (a) of the effective historical population size (θ) gene flow and migration directions (numbers and arrows) for the populations west of Greenland estimated in MIGRATE (Beerli 2006), b) estimate of recent effective population size (N_e) using linkage disequilibrium (Waples 2006) and migration rate and directions for the populations west of Greenland estimated in BAYESASS (Wilson & Rannala 2003), c) results of the effective historical population size (θ) gene flow and migration directions (numbers and arrows) for the populations east of Greenland estimated, d) estimate of recent effective population size (N_e) and migration rate and directions for the populations east of Greenland (WGR= W Greenland, NWGR= NW Greenland, HS= Hudson Strait, EGR= E Greenland, FJL-SVA= Franz Josef Land-Svalbard). Numbers in brackets () = 95%CI. Numbers in italics indicates proportions of migrants where data are considered to be informative. Means of 0.833 (0.675-0.992 (95%CI)) for non-migrants and 0.032 (1.58E-06-0.160 (95%CI)) for migrants observed in the analysis of the six sampling locations indicated that the data did not contain sufficient information.

Figure 4

a) Results of the historical gene flow and migration directions (numbers and arrows) for the populations between NW Greenland and E Greenland, Franz Josef Land-Svalbard estimated in MIGRATE (Beerli 2006), b) recent migration rate and directions estimated in BAYESASS (Wilson & Rannala 2003), c) historical gene flow and migration directions between W Greenland (WGR) and E Greenland (EGR), Franz Josef Land – Svalbard (FJL-SVA), d) estimate of recent migration rate and directions, e) historical gene flow and migration directions between Hudson Strait and E Greenland (EGR), Franz Josef Land-Svalbard (FJL-SVA), f) recent migration rate and directions. Numbers in brackets () = 95%CI. Numbers in italics indicates proportions of migrants where data are considered to be informative. Means of 0.833 (0.675-0.992 (95%CI)) for non-migrants and 0.032 (1.58E-06-0.160 (95%CI)) for migrants observed in the analysis of the six sampling locations indicated that the data did not contain sufficient information.

898 Table 1. Summary of samples used for genetic analysis from eastern Canada, Greenland and
 899 Franz Josef Land and Svalbard. Putative stock numbers from Born et al. (1995) and Figure 1.
 900

Region	Putative Stock	N	F	M	N** ^{d)}	Abbreviation in text	Sampling year
Foxe Basin ^{a)}	1	-				-	
Northwest Greenland	5	72	29	43	59	NWGR	^{b)} 1990, 1991
West Greenland	4	33	18 ^{c)}	11 ^{c)}	16	WGR	^{b)} 1988, 1989, 1997
Hudson Strait	3	58	16	42	64	HS	new material 1998-2000
East Greenland	6	76		76	74	EGR	^{b)} 1989, 1990 new material 1999-2000
Svalbard	7	28		28	56 ^{e)}	SVA	^{b)} 1992
Franz Josef Land	8	30	16	14	56 ^{e)}	FJL	^{b)} 1992
Total		297	79	214			

901

902 ^{a)} Foxe Basin sub-population recognized by Born et al. (1995) but not included in the present study

903 Born et al. 2001

904 ^{b)} Samples used in Andersen et al. 1998

905 ^{c)} 4 individuals were not sexed

906 ^{d)} Sample sizes after identification of migrants and replacing those individuals to the identified origin (see text)

907 ^{e)} Svalbard and Franz Josef Land were pooled as they probably belong to the same sub-population.

Table 2. Expected (H_e) heterozygosity, allele richness (AR), and goodness of fit to the Hardy-Weinberg expectations (F_{IS}) testing for heterozygosity deficiency in GENEPOP (Raymond & Rousset 1995) at the eleven microsatellite loci (Andersen et al. 1998) for the walrus samples from the six different areas.

	H_e	SD	AR	SD	F_{IS}
NW Greenland	0.647	0.056	6.55	2.63	0.055*
W Greenland	0.608	0.093	6.06	3.07	0.058
Hudson Strait	0.614	0.066	5.95	3.01	0.032
E Greenland	0.59	0.079	5.02	1.98	0.021
Svalbard	0.658	0.078	6.18	3.54	0.118**
Franz Josef Land	0.634	0.09	6.05	2.78	0.088

* Sig. deviation from HWE at Orr11

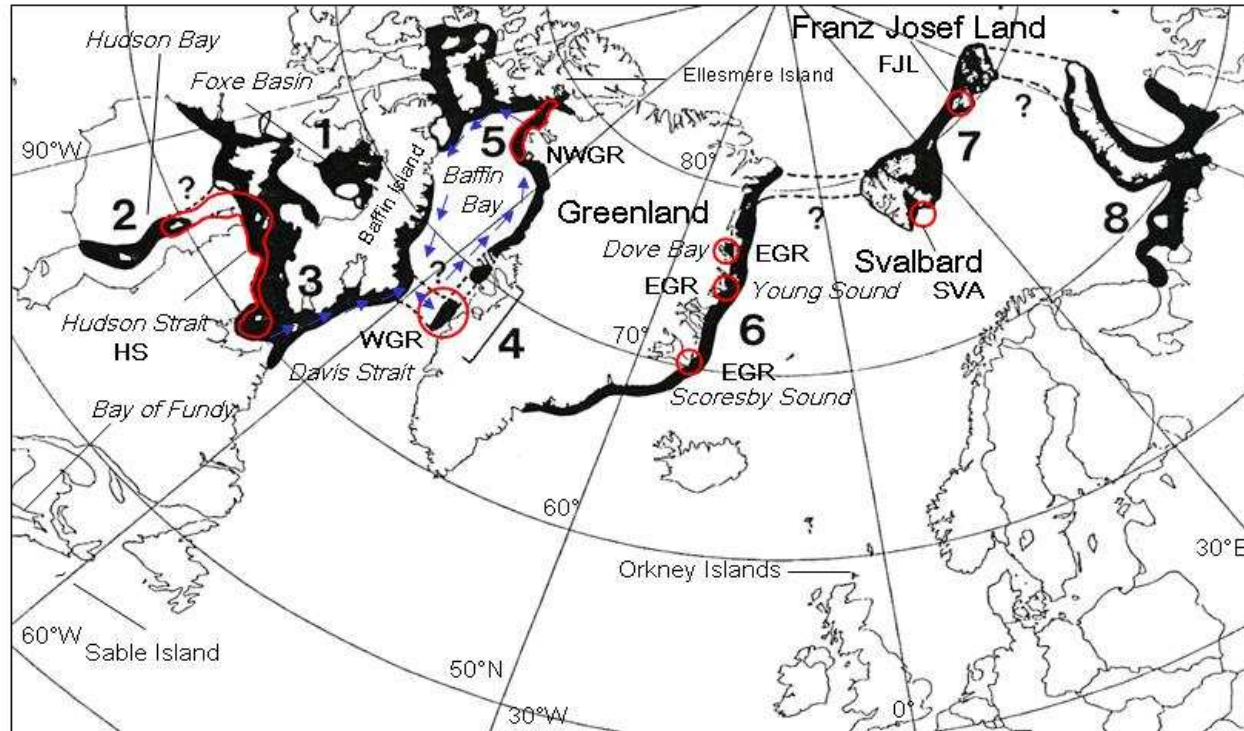
** Sig. deviation from HWE at Orr16 and overall

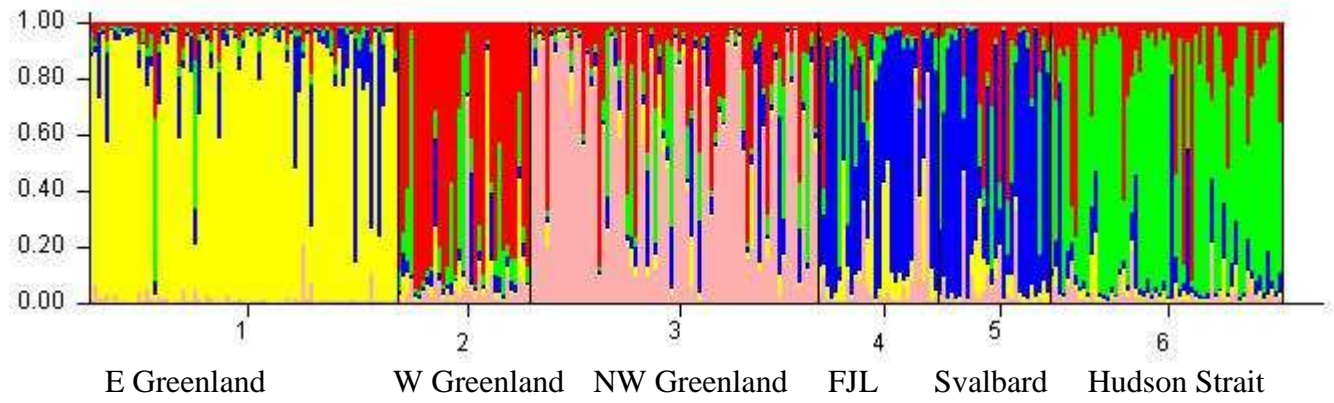
Table 3. Results of the pair-wise multilocus F_{ST} tests and the 95% confidence intervals for genetic differences between the five putative sub-populations of Atlantic walrus from Hudson Strait region, NW Greenland, W Greenland, E Greenland and the Svalbard-Franz Josef Land area. All tests performed in FSTAT after 10000 permutations (Goudet 1995). Bold= significant.

	NWGR	WGR	HS	EGR	SVA
West Greenland (WGR)	0.035				
95% CI	(0.021-0.052)				
Hudson Strait (HS)	0.043	0.021			
95% CI	(0.025-0.058)	(0.009-0.036)			
East Greenland (EGR)	0.069	0.048	0.066		
95% CI	(0.039-0.112)	(0.027-0.068)	(0.044-0.091)		
Svalbard (SVA)	0.060	0.041	0.037	0.054	
95% CI	(0.037-0.086)	(0.019-0.068)	(0.019-0.060)	(0.048-0.094)	
Franz Josef Land (FJL)	0.046	0.027	0.034	0.037	0.024
95% CI	(0.030-0.065)	(0.012-0.042)	(0.021-0.050)	(0.020-0.054)	(-0.005-0.063)

Table 4. Mean estimated proportion of membership (q) of the individuals into one of the five identified clusters in Fig. 2. (STRUCTURE, Pritchard et al. 2000). (NWGR= NW Greenland, HS= Hudson Strait, FJL-SVA= Franz Josef Land-Svalbard, EGR= E Greenland, WGR= W Greenland)

Sampling areas	Clusters suggested by STRUCTURE					N
	NWGR	HS	FJL-SVA	EGR	WGR	
NW Greenland	0.611	0.097	0.069	0.035	0.188	72
W Greenland	0.068	0.155	0.056	0.068	0.654	33
Hudson Strait	0.033	0.675	0.09	0.028	0.174	58
E Greenland	0.020	0.035	0.098	0.807	0.040	76
Svalbard	0.061	0.141	0.610	0.051	0.136	28
Franz Josef Land	0.109	0.105	0.568	0.132	0.087	30





E Greenland
W Greenland NW Greenland FJL Svalbard Hudson Strait
Figure 2

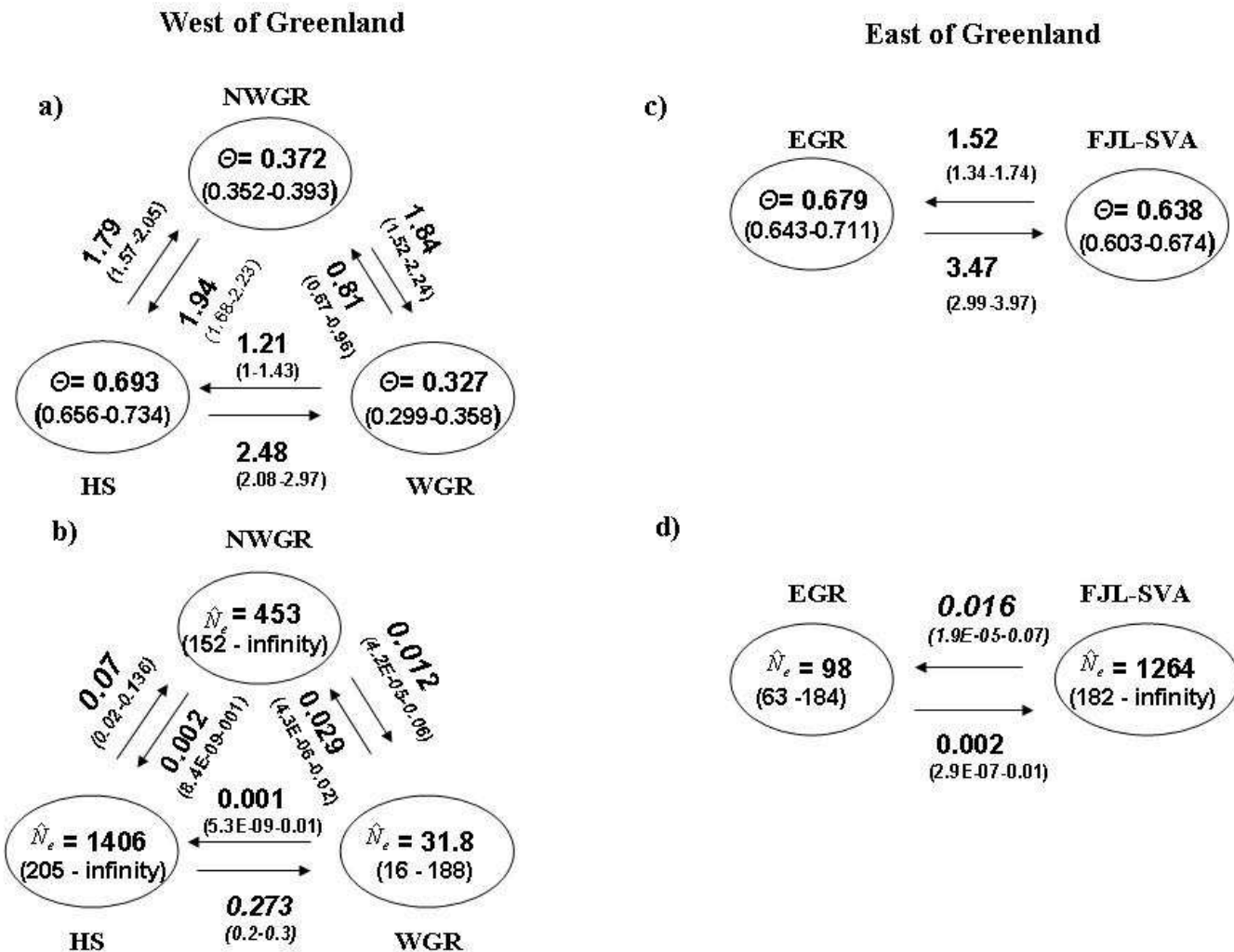


Figure 3

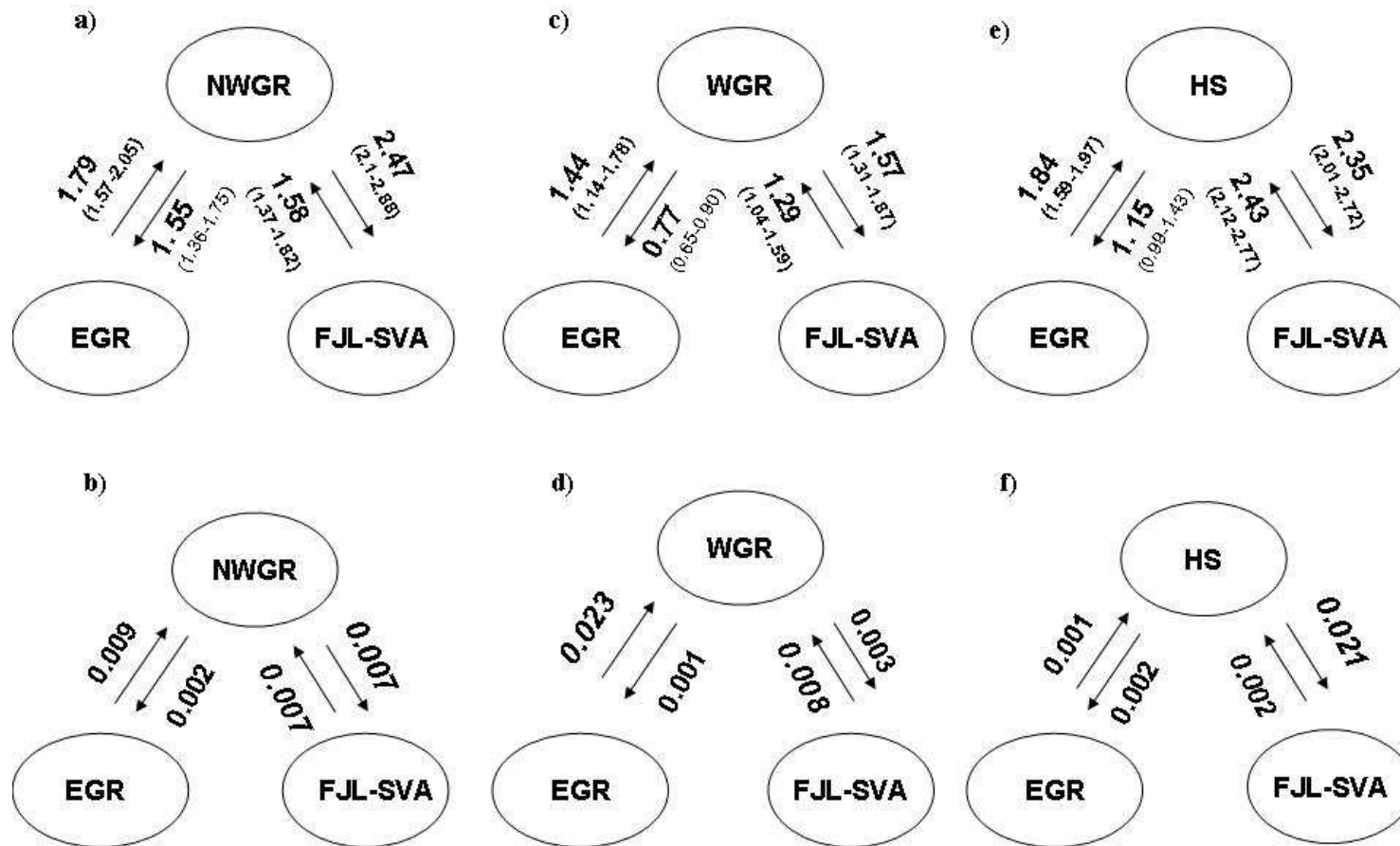


Figure 4

